



1651  
PATENT

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Sheila R. Harter

|                 |   |   |                       |
|-----------------|---|---|-----------------------|
| Applicant       | : | J. David Rozzell, Jr.                                     | Confirmation No. 1571 |
| Application No. | : | 10/039,952  |                       |
| Filed           | : | October 24, 2001  |                       |
| Title           | : | METHOD FOR CHEMICAL TRANSFORMATION USING A MUTATED ENZYME |                       |
| Grp./Div.       | : | 1651  |                       |
| Examiner        | : | Ralph J. Gitomer  |                       |
| Docket No.      | : | 47418/JDC/B583  |                       |

**INFORMATION DISCLOSURE STATEMENT WITH FEE  
UNDER 37 CFR §§ 1.97(c) AND 1.17(p)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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March 29, 2005

Commissioner:

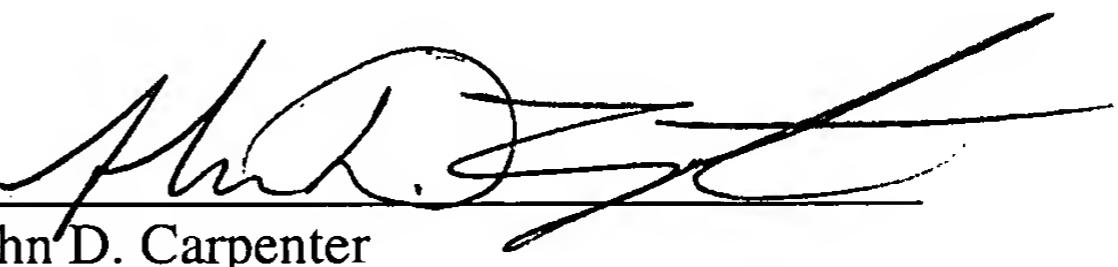
In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing a reference that is known to applicant. A copy of the listed reference is enclosed.

It is respectfully requested that the listed reference be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

**Application No. 10/039,952**

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By 

John D. Carpenter  
Reg. No. 34,133  
626/795-9900

JDC/srh

Enclosures: Check \$180  
Copy of IDS  
Form PTO/SB/08A/B, w/reference

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|---|--|------------------------|-----------------------|
| FORM PTO/SB/08A/B (10-01)<br>Substitute for PTO-1449A/B   |  | Attorney Docket Number | 47418/JDC/B583        |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |  | Application Number     | 10/039,952            |
|   |  | Filing Date            | October 24, 2001      |
|   |  | Applicant(s)           | J. David Rozzell, Jr. |
|   |  | Group Art Unit         | 1651                  |
|   |  | Examiner Name          | Ralph J. Gitomer      |

| U.S. PATENT DOCUMENTS |                       |   |                                |                  |
|-----------------------|-----------------------|---|--------------------------------|------------------|
| EXAMINER INITIALS     | Cite No. <sup>1</sup> | DOCUMENT NUMBER<br>Number - Kind Code <sup>2</sup> (If Known) | Publication Date<br>MM-DD-YYYY | Name of Patentee |
|                       |                       |   |                                |                  |
|                       |                       |   |                                |                  |

| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |   |
|--------------------------|-----------------------|---|--------------------------------|--|---|
| EXAMINER INITIALS        | Cite No. <sup>1</sup> | FOREIGN PATENT DOCUMENT<br>Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup><br>(If Known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | T <sup>6</sup><br>( <input checked="" type="checkbox"/> ) |
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| OTHER DOCUMENTS   |                       |  |
|-------------------|-----------------------|--|
| EXAMINER INITIALS | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|                   |                       | Måansson et al., "Immobilized Active Coenzymes"; Methods in Enzymology, Volume 136, Copyright 1987; 21 sheets  |
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|--|--|--------------------|--|
| EXAMINER SIGNATURE   |  | DATE<br>CONSIDERED |  |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="http://www.pto.gov">www.pto.gov</a> or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached. |  |                    |  |